

拓扑量子态与拓扑电子材料

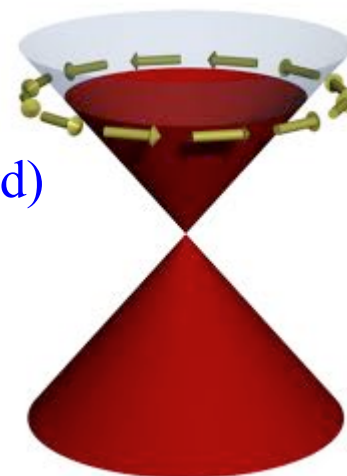
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Acknowledgement:

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(3) Topological Semimetals

(4) Topological Superconductors

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Bi_2Se_3 , Bi_2Te_3 , $\text{Cr-Bi}_2\text{Te}_3$, HgCr_2Se_4 , Na_3Bi , Cd_3As_2 , etc.

一、简介：对称性

“对称性”是物理学研究的重要部分

1), 对称性：➔ 守恒量

例如, Lorentz不变性: 时间平移 ➔ 能量守恒
空间平移 ➔ 动量守恒,
空间旋转 ➔ 角动量守恒

2), 对称性分类：丰富多彩

例如: 局域与整体对称性, 分立与连续对称性
Time-Reversal, Parity, Gauge, Particle-Hole, etc

3), 对称性破缺：➔ 物质状态改变

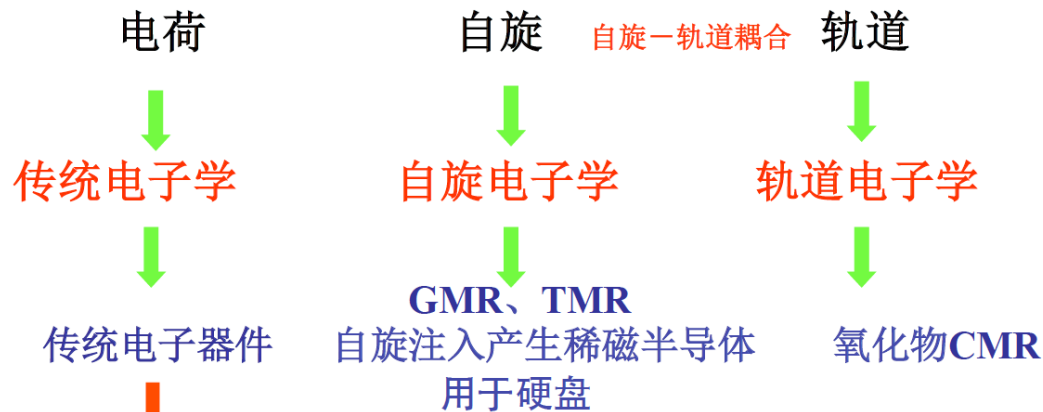
- (1) 直接对称性破缺: Hamiltonian对称性破缺
- (2) 自发对称性破缺: H没有破缺, 但是波函数对称性破缺

一、简介：凝聚态物质 → 准粒子（低能物理）

- 电子 → 三个量子特征，电荷、自旋、轨道
- 准粒子 → 集体激发，相干性
- 费米统计 → 化学势、费米面
- 晶体 → 能带理论，动量空间

多体、多自由度？
强关联？
宏观量子现象？

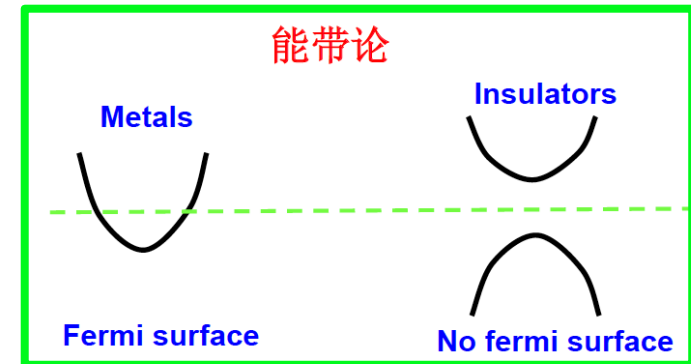
电子的三个量子自由度



传统电子器件利用了电荷动力学，但已达到瓶颈！

丰富的有序态，新奇量子现象
自旋Hall、反常Hall效应，以及自旋与轨道
输运特性等。

这三种自由度存在着耦合与竞争，会产生许多新奇的现象与特性。



一、简介：量子有序态

有序态是凝聚态物理研究的基本内涵之一

例如：磁有序态、电荷有序态、超导态等

局域有序态：

对称性破缺导致有序态
(朗道对称性破缺理论)

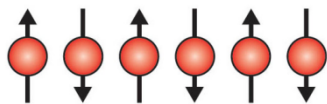
1. 物态可以用局域序参量描写
如：铁磁态的磁化强度 $M(r)$
2. 相变伴随着对称性破缺
如： $M(r)$ 的出现破坏了
旋转对称性

整体有序态：

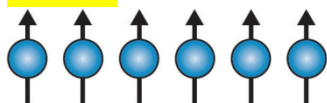
拓扑量子态
(量子物理与几何的完美结合)

1. 具有拓扑性质的“量子态”
2. 不能用局域序参量描写，而要用
全局拓扑不变量描写
3. 相变过程并不伴随对称性破缺

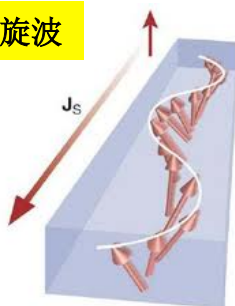
反铁磁



铁磁



自旋波



拓扑“0”



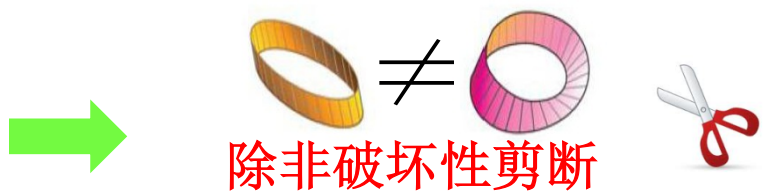
拓扑“1”

$$\rho = \langle \Psi | \Psi \rangle \quad \text{vs.} \quad |\Psi\rangle = e^{i\phi} |\psi\rangle \quad \text{Phase 非常重要}$$

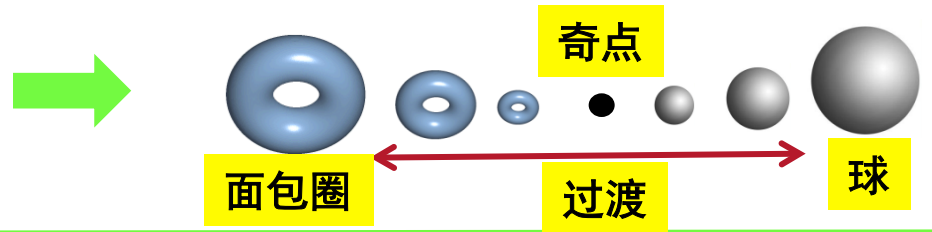
一、简介：拓扑量子态 $\Rightarrow$ 新物态、新奇量子现象

拓扑量子态的优点：对细节不敏感

1. “0”与“1”严格区分，
无微扰过程，不怕干扰、噪声



2. 与“奇点”密切相关，
在边界上会有特殊量子态



信息高速公路：极低电阻、极低能耗

普通态



鱼目混杂，杂乱无章



遇到杂质，会被散射

拓扑有序态



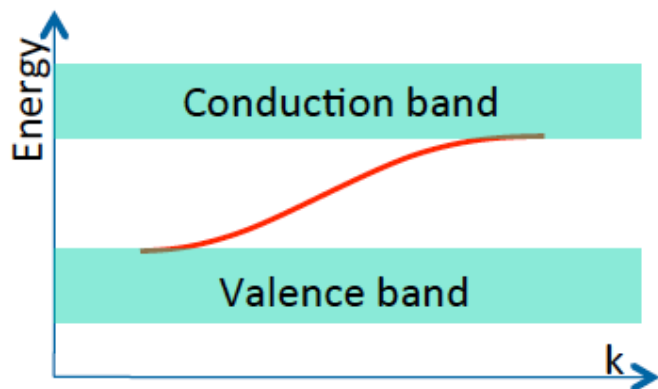
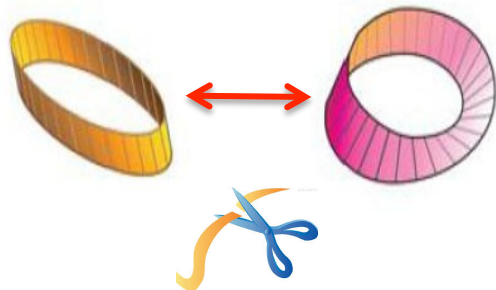
各行其道，永不混杂



遇到杂质，自动绕行

一、简介：拓扑态的边界效应

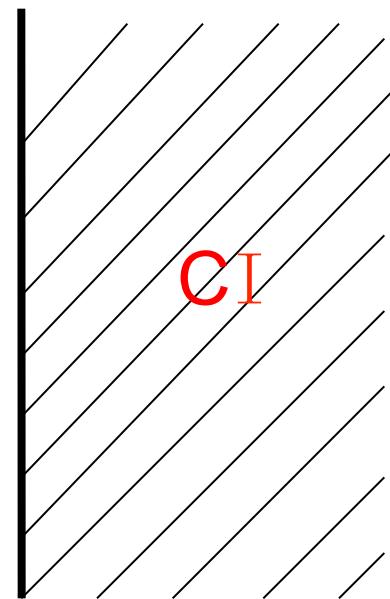
“twisted band”



Boundary State

Boundary

Vacuum
Normal
insulator



N=0



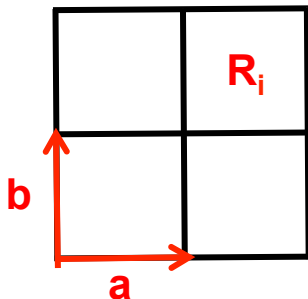
N=1

Cut:

No adiabatic connection
between two sides

二、拓扑不变量：晶格平移不变性与动量空间

实空间：



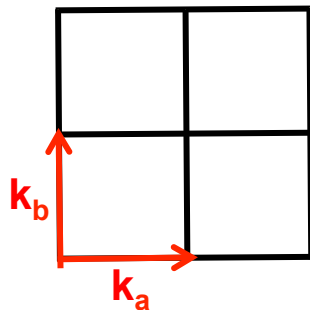
$$H(\vec{r}) = H(\vec{r} + \vec{R}_i)$$

Bloch State:

$$\psi_{n\mathbf{k}}(\mathbf{r}) = e^{i\mathbf{k}\cdot\mathbf{r}} u_{n\mathbf{k}}(\mathbf{r})$$

$$H(\mathbf{r})\psi_{n\mathbf{k}}(\mathbf{r}) = \varepsilon_{n\mathbf{k}}\psi_{n\mathbf{k}}(\mathbf{r})$$

倒空间（动量空间）：
（第一布里渊区）



$$H_{\mathbf{k}} = e^{-i\mathbf{k}\cdot\mathbf{r}} H e^{i\mathbf{k}\cdot\mathbf{r}}$$

$$H_{\mathbf{k}}(\mathbf{r})u_{n\mathbf{k}}(\mathbf{r}) = \varepsilon_{n\mathbf{k}}u_{n\mathbf{k}}(\mathbf{r})$$

Gauge Freedom:

$$|u'_{n\mathbf{k}}\rangle = e^{i\phi(\mathbf{k})} |u_{n\mathbf{k}}\rangle$$

$$H_{\mathbf{k}}|u'_{n\mathbf{k}}\rangle = \varepsilon_{n\mathbf{k}}|u'_{n\mathbf{k}}\rangle$$

二、拓扑不变量: Berry connection & Curvature

K-space as the parameter space:

Connection: $\mathbf{A}_n(\mathbf{k}) = i\langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} | u_{n\mathbf{k}} \rangle$

Gauge dependent $\mathbf{A}'_n(\mathbf{k}) = i\langle u'_{n\mathbf{k}} | \nabla_{\mathbf{k}} | u'_{n\mathbf{k}} \rangle = \mathbf{A}_n(\mathbf{k}) - \nabla_{\mathbf{k}}\phi(\mathbf{k})$

Curvature: $\Omega_n(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}_n(\mathbf{k}) = i\langle \nabla_{\mathbf{k}} u_{n\mathbf{k}} | \times | \nabla_{\mathbf{k}} u_{n\mathbf{k}} \rangle$

Gauge invariant $\Omega_n(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}'_n(\mathbf{k}) = \nabla_{\mathbf{k}} \times \mathbf{A}_n(\mathbf{k})$

Symmetry: $\Omega_n(\mathbf{k}) = \Omega_n(-\mathbf{k})$ for inversion symmetry
 $\Omega_n(\mathbf{k}) = -\Omega_n(-\mathbf{k})$ for time reversal symmetry

$\Omega_n(\mathbf{k}) \equiv 0$ For systems with both T and I

二、拓扑不变量：Gauge Field

Gauge covariant position operator: $\hat{x}_\mu = i\partial_{k_\mu} - A_\mu(k)$

Commutation relation: $[\hat{x}_\mu, \hat{x}_\nu] = -i\mathcal{F}_{\mu\nu}$

$$\mathcal{F}_{\mu\nu} = \partial_{k_\mu} A_\nu - \partial_{k_\nu} A_\mu$$

Curvature can be written in anti-symmetric tensor form:

$$\begin{aligned}\epsilon_{\mu\nu\xi}\Omega_{n,\xi} &= \mathcal{F}_{n,\mu\nu} = \frac{\partial}{\partial k_\mu} A_{n,\nu}(\mathbf{k}) - \frac{\partial}{\partial k_\nu} A_{n,\mu}(\mathbf{k}) \\ &= i \left[\left\langle \frac{\partial u_{n\mathbf{k}}}{\partial k_\mu} \middle| \frac{\partial u_{n\mathbf{k}}}{\partial k_\nu} \right\rangle - \left\langle \frac{\partial u_{n\mathbf{k}}}{\partial k_\nu} \middle| \frac{\partial u_{n\mathbf{k}}}{\partial k_\mu} \right\rangle \right]\end{aligned}$$

$\epsilon_{\mu\nu\xi}$ is the Levi-Civita antisymmetric tensor, and

$$\Omega_{n,z} = \mathcal{F}_{n,xy}$$

二、拓扑不变量：Magnetic Field in K-space

Key quantity: $\vec{\Omega}(\mathbf{k}) = \nabla_{\mathbf{k}} \times \vec{A}(\mathbf{k}) = \nabla_{\mathbf{k}} \times i \langle u_{n\mathbf{k}} | \nabla_{\mathbf{k}} | u_{n\mathbf{k}} \rangle$

$A(\mathbf{k})$: Berry connection, $u_{n\mathbf{k}}$: periodic part of Bloch function

can be viewed as magnetic field in k-space

[Sundaram & Niu, et.al, PRB (1999); Jungwirth & Niu, et.al, PRL (2002);
Fang, Nagaosa, Tokura, et.al, Science (2003); Y. Yao & Niu, et.al. PRL (2004)]

Analogies

Berry curvature

$$\vec{\Omega}(\vec{k})$$

Berry connection

$$\vec{A}(\vec{k}) = \langle \psi | i \frac{\partial}{\partial \vec{k}} | \psi \rangle$$

Geometric phase

$$\oint d\vec{k} \cdot \vec{A}(\vec{k}) = \iint d^2k \Omega_z(\vec{k})$$

Chern number

$$\iint d^2k \Omega_z(\vec{k}) = \text{integer}$$

Magnetic field

$$\vec{B}(\vec{r})$$

Vector potential

$$\vec{A}(\vec{r})$$

Aharonov-Bohm phase

$$\oint d\vec{r} \cdot \vec{A}(\vec{r}) = \iint d^2r B_z(\vec{r})$$

Dirac monopole

$$\iint d^2r B_z(\vec{r}) = \text{integer } h/e$$

Equation of motion:

$$\dot{\mathbf{r}} = \frac{1}{\hbar} \frac{\partial \varepsilon(\mathbf{k})}{\partial \mathbf{k}} - \dot{\mathbf{k}} \times \Omega(\mathbf{k})$$

$$\hbar \dot{\mathbf{k}} = -e\mathbf{E}(\mathbf{r}) - e\dot{\mathbf{r}} \times \mathbf{B}(\mathbf{r})$$

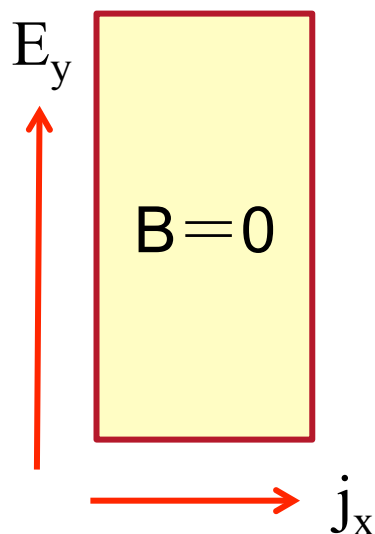
Anomalous velocity

$$x_i = i \frac{\partial}{\partial k_i} - \tilde{A}_i(\vec{k}), \quad [x, y] = -i\Omega_z(\vec{k})$$



Anomalous Hall Effect

二、拓扑不变量：可观测量，Hall Conductivity



$$\begin{aligned} j_x &= -ev_x = -e \sum_n \int_{BZ} \frac{d^3\mathbf{k}}{(2\pi)^3} f_n(\mathbf{k}) (-\dot{\mathbf{k}} \times \boldsymbol{\Omega}_n(\mathbf{k}))_x \\ &= -\frac{e^2}{\hbar} \sum_n \int_{BZ} \frac{d^3\mathbf{k}}{(2\pi)^3} f_n(\mathbf{k}) (\mathbf{E} \times \boldsymbol{\Omega}_n(\mathbf{k}))_x \\ &= -\frac{e^2}{\hbar} \sum_n \int_{BZ} \frac{d^3\mathbf{k}}{(2\pi)^3} f_n(\mathbf{k}) E_y \Omega_{n,z}(\mathbf{k}) \end{aligned}$$

Hall conductivity:

$$\sigma_{xy} = \frac{j_x}{E_y} = -\frac{e^2}{\hbar} \sum_n \int_{BZ} \frac{d^3\mathbf{k}}{(2\pi)^3} f_n(\mathbf{k}) \Omega_{n,z}(\mathbf{k})$$

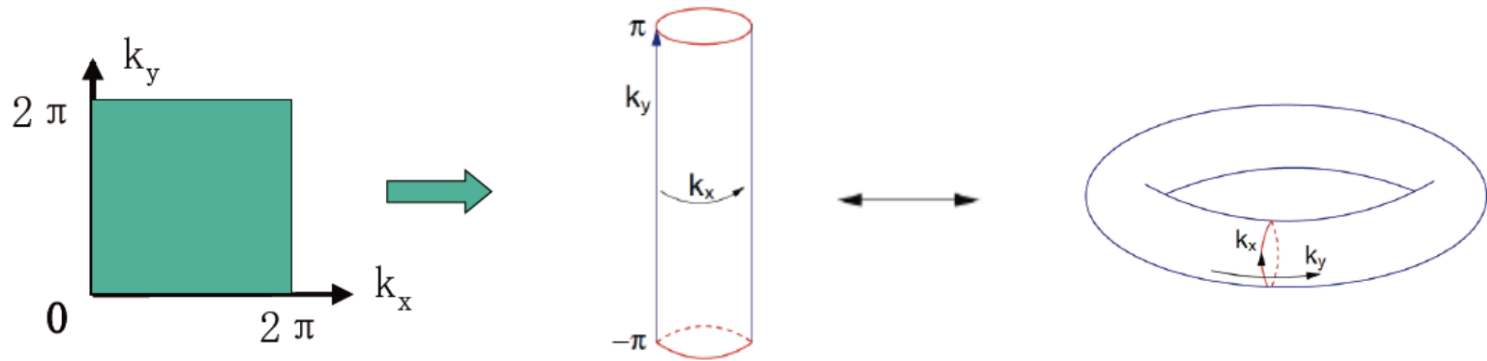
For 2D insulators: $f_n(\mathbf{k})=1$ or 0

$$\begin{aligned} \sigma_{xy} &= -\frac{e^2}{\hbar} \sum_n \int_{BZ} \frac{d^2\mathbf{k}}{(2\pi)^2} f_n(\mathbf{k}) \Omega_{n,z}(\mathbf{k}) \\ &= -\frac{e^2}{2\pi\hbar} \int_{BZ} d^2\mathbf{k} \sum_{n(\text{occ})} \Omega_{n,z}(\mathbf{k}) \end{aligned}$$

二、拓扑不变量：Chern Number

Chern theorem: $\int_{\mathcal{S}} \Omega(\mathbf{k}) \cdot d\mathcal{S} = 2\pi Z$ Z =interger Chern number

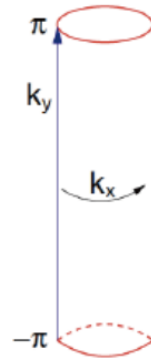
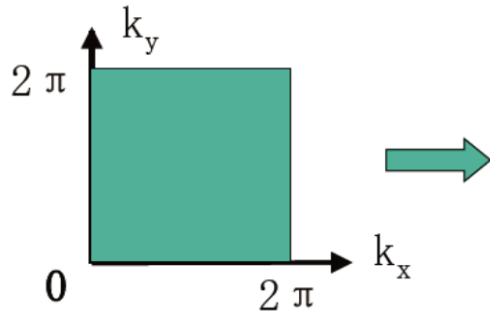
2D Brillouin Zone:



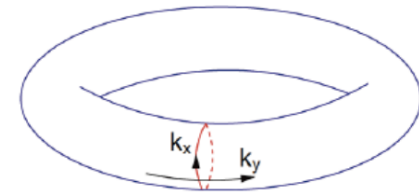
Quantization: $\int_{BZ} d^2\mathbf{k} \Omega_z(\mathbf{k}) = \int_{\mathcal{S}} \Omega(\mathbf{k}) \cdot d\mathcal{S}$

$$\sigma_{xy} = -\frac{e^2}{2\pi h} \times 2\pi Z = -\frac{e^2}{h} Z$$

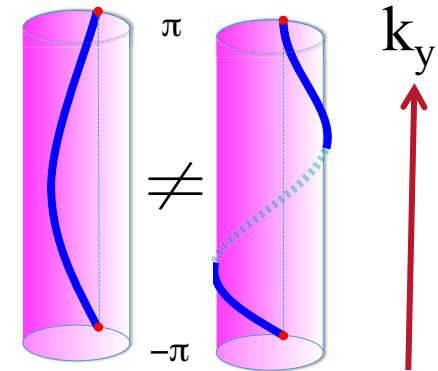
二、拓扑不变量: Chern Number as Wannier Center



Winding Number N



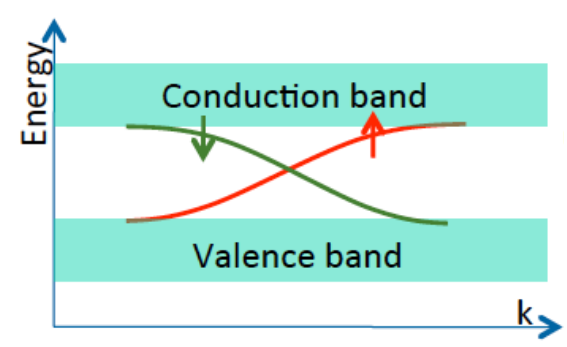
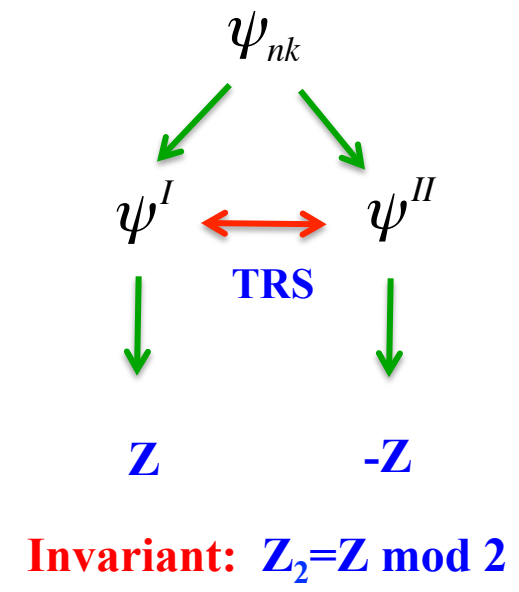
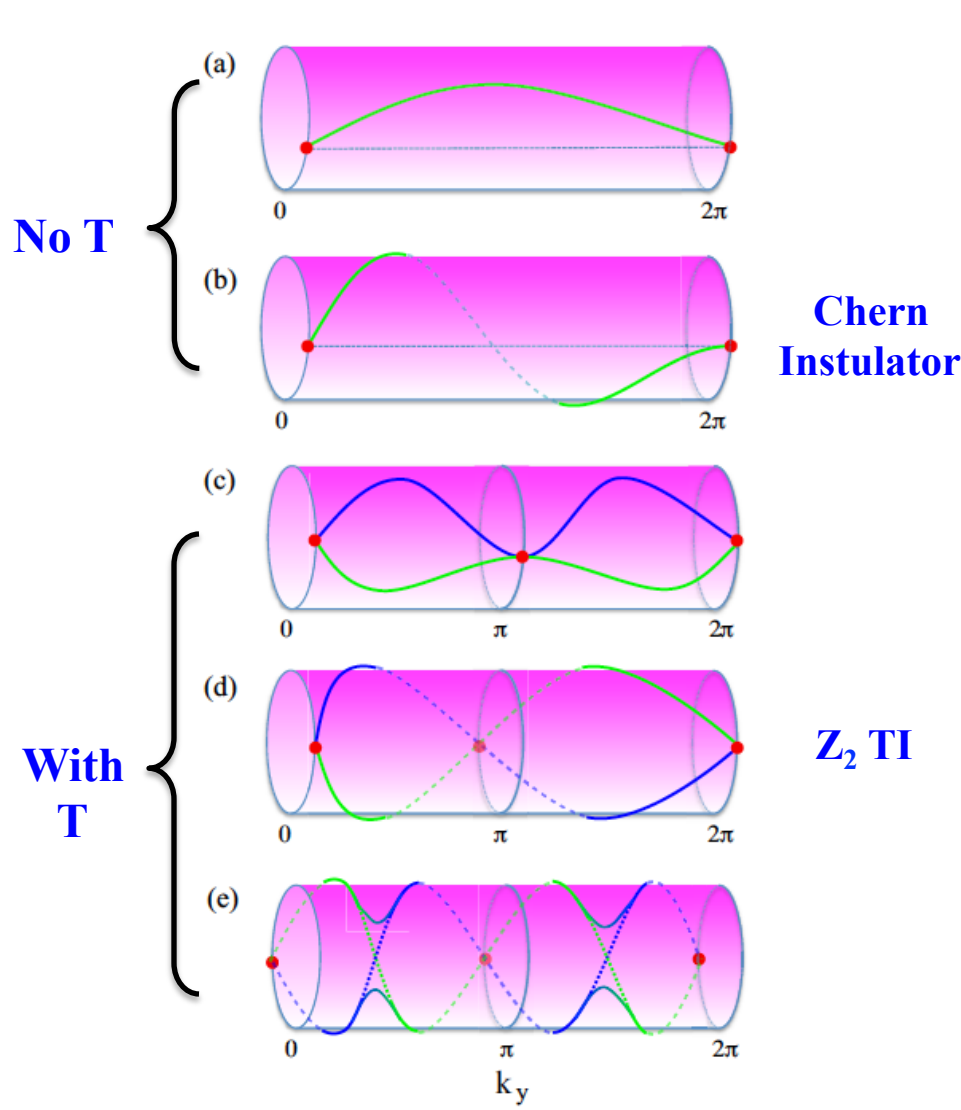
$$\begin{aligned}
 2\pi Z &= - \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} dk_x dk_y (\partial_{k_x} A_y - \partial_{k_y} A_x) \\
 &= \int_{-\pi}^{\pi} dk_y \partial_{k_y} \left(\int_{-\pi}^{\pi} dk_x A_x(k_x, k_y) \right) \\
 &= \int_{-\pi}^{\pi} d\theta(k_y).
 \end{aligned}$$



Berry Phase: $P_n(k_y) = \frac{\theta_n(k_y)}{2\pi} = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk_x A_{n,x}(k_x, k_y)$

Wannier Center: $\bar{x}(k_y) = \langle nR_{x=0}, k_y | \hat{x} | nR_{x=0}, k_y \rangle = i \frac{1}{(2\pi)} \int_{-\pi}^{\pi} dk_x \langle u_{n\mathbf{k}} | \nabla_{k_x} | u_{n\mathbf{k}} \rangle = P_n(k_y)$

二、拓扑不变量：T-symmetry and Z_2 Invariant



Ref: (1) Hasan & Kane, RMP (2010).
(2) Qi & Zhang, RMP (2011).

Z_2 TI can be extended to 3D!

二、拓扑不变量: Magnetic Monopole and Weyl node

Any 2x2 Hamiltonian: $H(\vec{k}) = \vec{f}(\vec{k}) \cdot \vec{\sigma} = f_x(k)\sigma_x + f_y(k)\sigma_y + f_z(k)\sigma_z$

Simplest Case $\vec{f}(\vec{k}) = \pm \vec{k}$: $H(\vec{k}) = \pm \vec{k} \cdot \vec{\sigma} = \pm \begin{bmatrix} k_z & k_x - ik_y \\ k_x + ik_y & -k_z \end{bmatrix}$ 

Weyl nodes:

- (1) Topological Objects
- (2) Gapless, no mass term
- (3) Chirality $\pm$ (left or right-hand)
- (4) Protected by translation
(k must be well defined)

Magnetic Monopoles:

$$\vec{\Omega}(k) = \vec{\nabla}_k \times \vec{A}(k) = \pm \frac{\vec{k}}{|\vec{k}|^3} \quad \vec{\nabla} \cdot \vec{\Omega} \neq 0$$



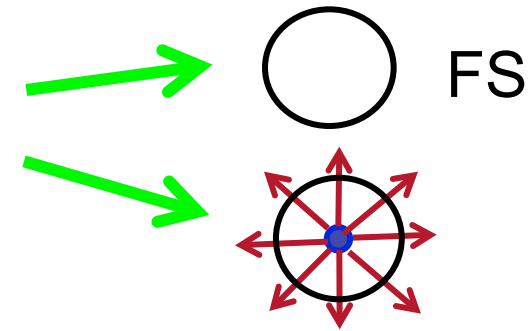
$$\frac{1}{2\pi} \oint_S \vec{\Omega}(k) \cdot dS(k) = Q$$

Q=magnetic Charge

Fang, Science (2003).

二、拓扑不变量：Topological Invariant for 3D Metals

Definition:
$$\frac{1}{2\pi} \oint_{FS} \vec{\Omega}(k) \cdot dS(k) = C_{FS}$$



$C_{FS}=0$, normal metal

$C_{FS} \neq 0$, topological metal

if E_f at node ($k=0$) $\rightarrow$ topological semimetal

Volovik, JETP (2002).

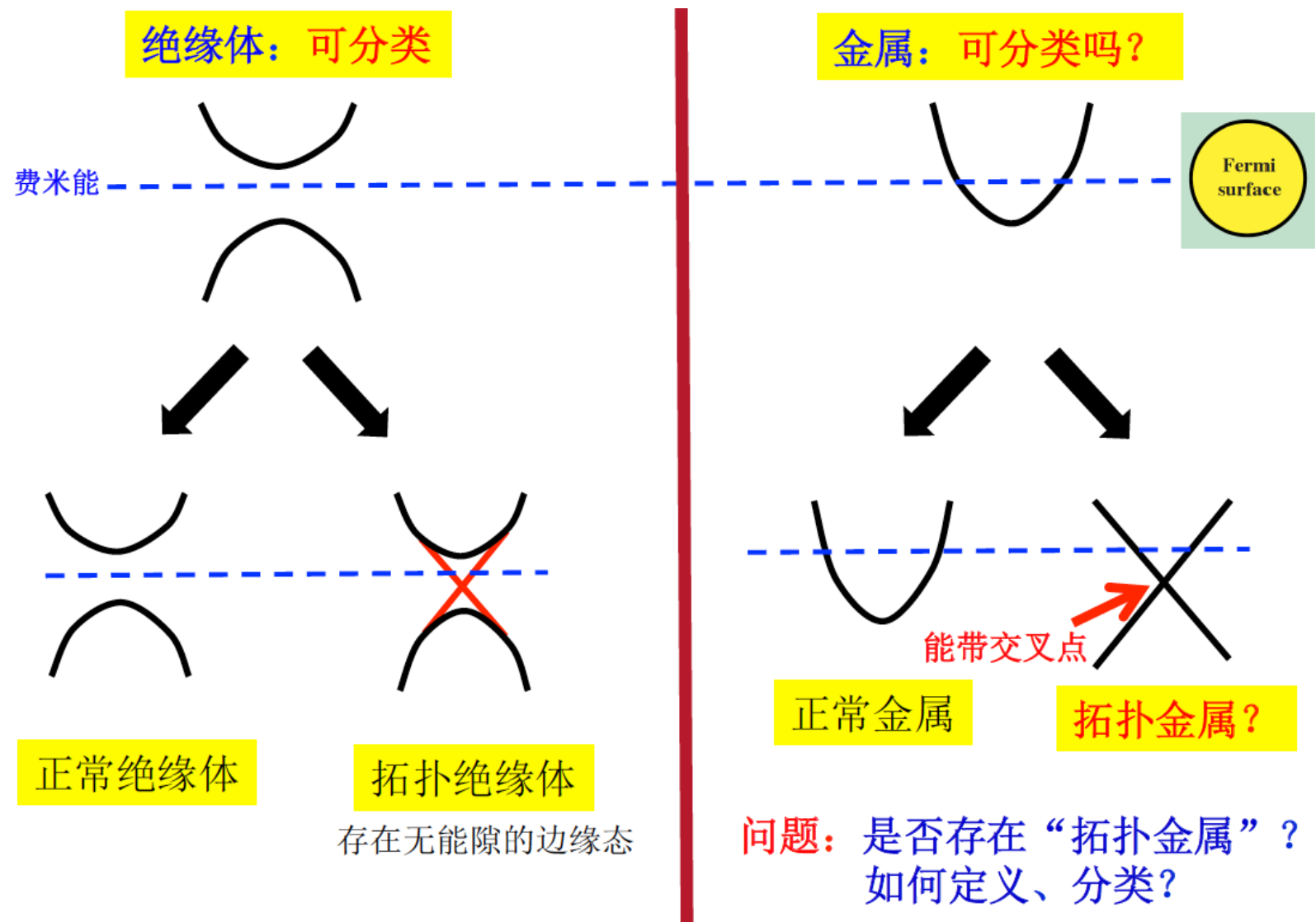
X. L. Qi, et.al., PRB (2010).

Z. J. Wang, et.al., PRB (2012)

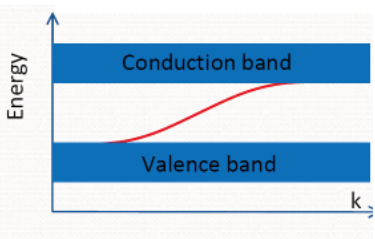
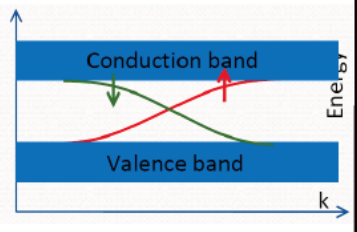
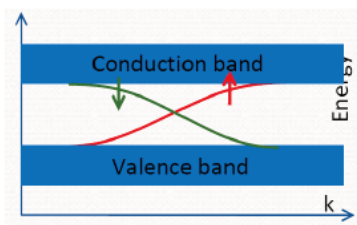
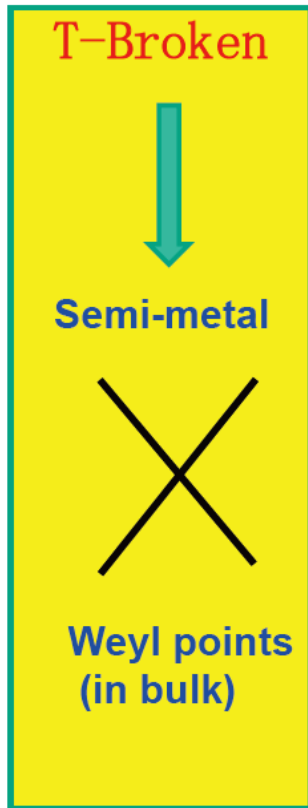
Notes:

- (1) $|Q|$ can be more than 1
- (2) $+Q$ & $-Q$ monopoles have to appear in pair in lattice, but may separate in K .
- (3) $+Q$ & $-Q$ monopoles can annihilate.
- (4) Defined only for 3D k -space

三、拓扑量子态：Momentum Space

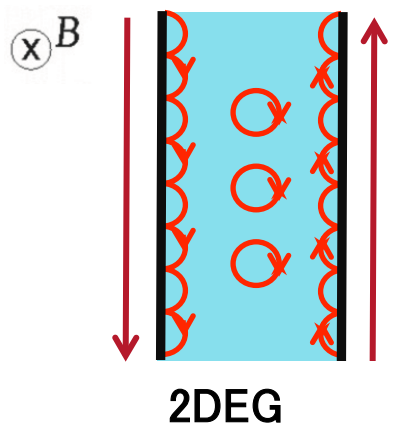


三、拓扑量子态：丰富的拓扑电子态

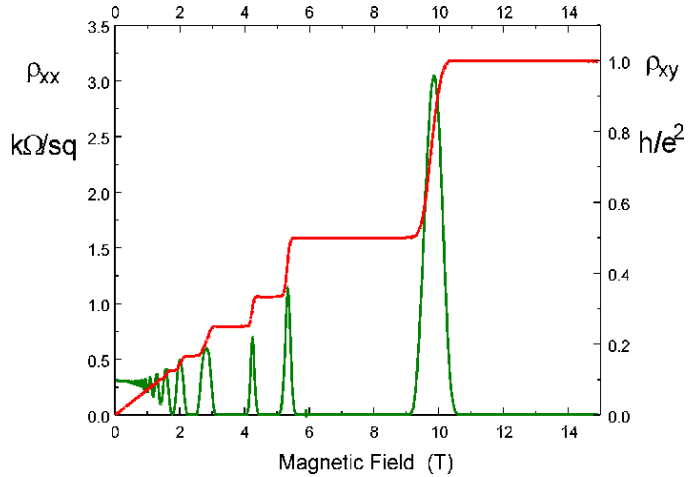
2D		3D	
T-broken	T-invariant	T-invariant	T-Broken
QHE QAHE	QSHE	Kondo Topo. Band Insu. Anderson Mott ...	
			
Edge States TKNN Chern number, Z	Z_2	Surface States Z_2	
量子霍尔效应	量子自旋霍尔效应 2D拓扑绝缘体	3D拓扑绝缘体	拓扑金属
$Z \neq 0$	$Z_2 \neq 0$	$Z_2 \neq 0$	$C_{FS} \neq 0$

三、拓扑量子态：Integer Quantum Hall Effect

典型的宏观量子现象！

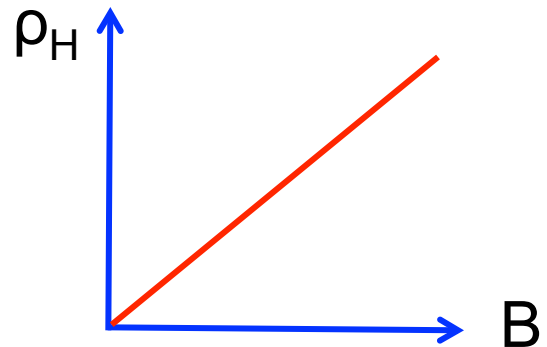
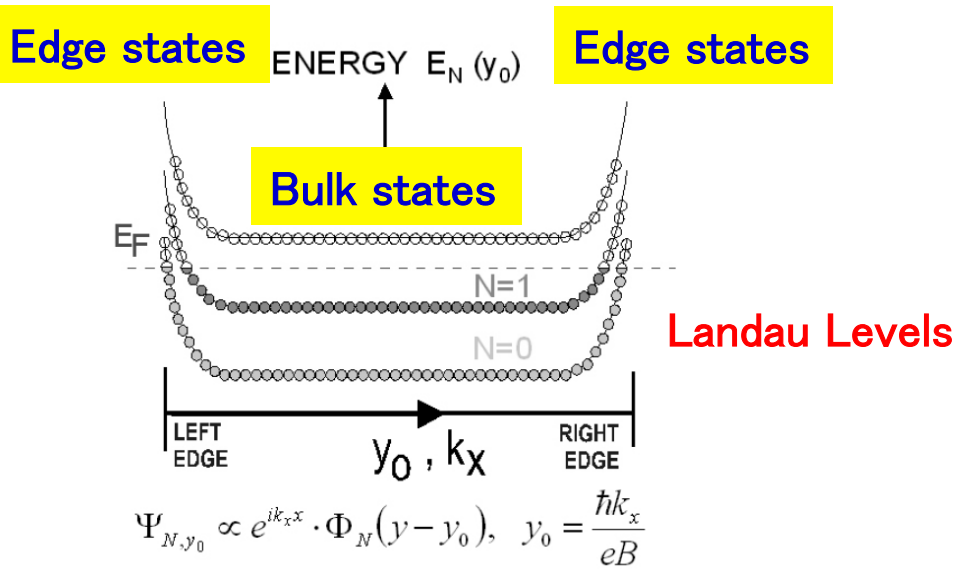


Dissipationless edge
有电流，没有电压



Quantization

K. Von Klitzing, et.al., PRL(1980)

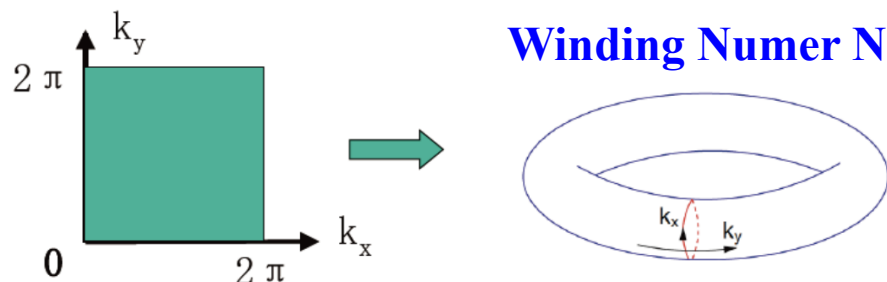


Quantum Hall Effect

Hall Effect $\rho_{Hall} = R_0 B$

三、拓扑量子态：IQHE

Z-number for T-broken QH or QAH insulators (2D)



$$\sigma_{xy} = -\frac{e^2}{2\pi h} \int_{BZ} \Omega_z(k) d^2k = -n \frac{e^2}{h}$$

n =Chern number

TKNN, PRL (1982):
$$n = \sum_{bands} \frac{i}{2\pi} \int d^2k \left(\left\langle \frac{\partial u}{\partial k_1} \middle| \frac{\partial u}{\partial k_2} \right\rangle - \left\langle \frac{\partial u}{\partial k_2} \middle| \frac{\partial u}{\partial k_1} \right\rangle \right)$$

Haldane, PRL (1988): Lattice Model (Honeycomb);

Onoda & Nagaosa: PRL (2003); S. C. Zhang: PRB (2006); PRL (2008)

Realization: Fang & Dai, Science 2010 (Theory); Xue, Science 2013 (Exp.)
(Cr-doped (BiSb)Te₃ thin film)

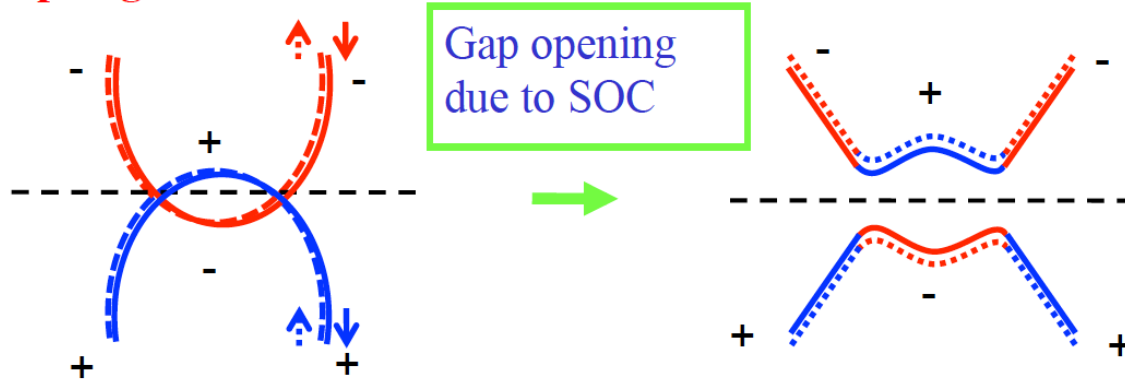
四、拓扑电子材料: Band Inversion Mechanism



Guidelines:

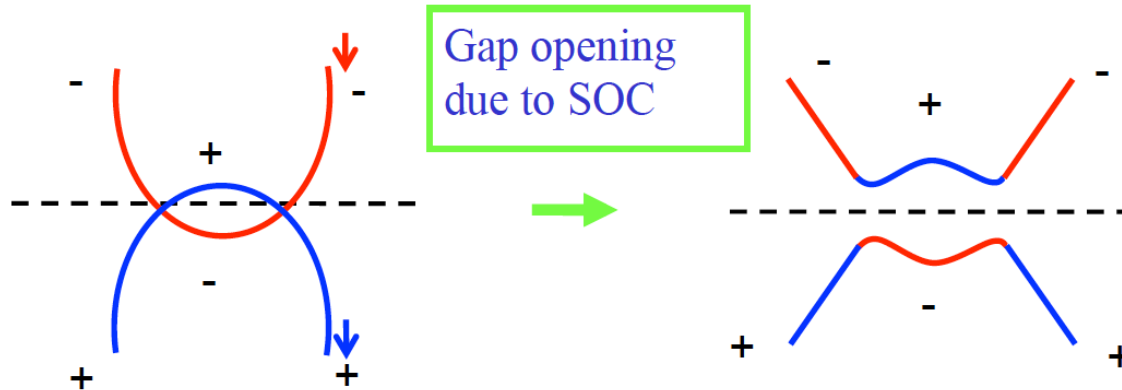
1. Semiconductor with inverted band structure
2. Strong SOC

Z_2 Topological Insulators:



$$H_{\text{eff}}(k_x, k_y) = \begin{pmatrix} H(k) & 0 \\ 0 & H^*(-k) \end{pmatrix}$$

2D Chern Insulators:



$$H_{\text{eff}}(\mathbf{k}) = \begin{bmatrix} E_+(\mathbf{k}) & M(\mathbf{k})^* \\ M(\mathbf{k}) & E_-(\mathbf{k}) \end{bmatrix}$$

$$E_{\pm}(\mathbf{k}) = \mp m \pm \mathbf{k}^2$$

$$M(\mathbf{k}) = (k_x \pm ik_y)^n$$

$$Z = n$$

四、拓扑电子材料：First-principles Calculations

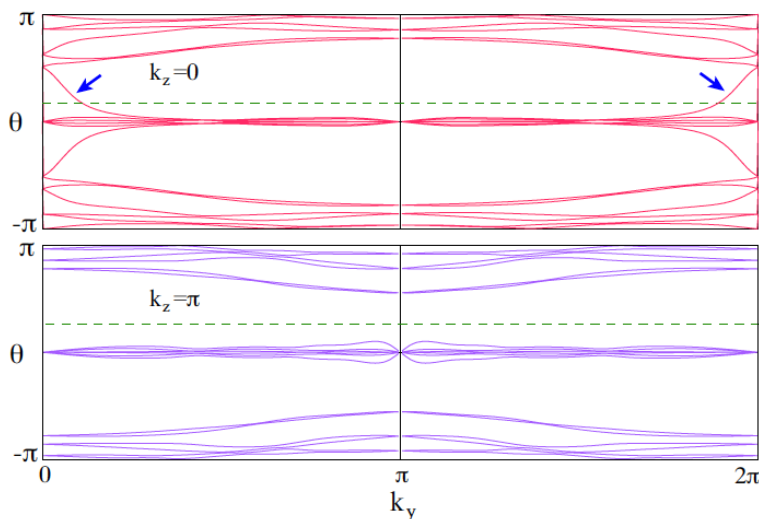


Matrix element: $\mathbf{v}_{m,n}(\mathbf{k}) = \langle \psi_{m\mathbf{k}} | \mathbf{v} | \psi_{n\mathbf{k}} \rangle = \frac{1}{\hbar} \langle u_{m\mathbf{k}} | \nabla_{\mathbf{k}} \mathbf{H}(\mathbf{k}) | u_{n\mathbf{k}} \rangle$

Berry phase: $\gamma(\mathbf{k}_{\perp}) = \text{Im} \left[\ln \det \prod_{j=1}^{J-1} \langle u_{n,(\mathbf{k}_{\perp}, k_j)} | u_{m,(\mathbf{k}_{\perp}, k_{j+1})} \rangle \right]$

or Wilson Loop method to avoid the gauge-fix condition

Winding number: $P_n(k_y) = \frac{\theta_n(k_y)}{2\pi} = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk_x A_{n,x}(k_x, k_y)$



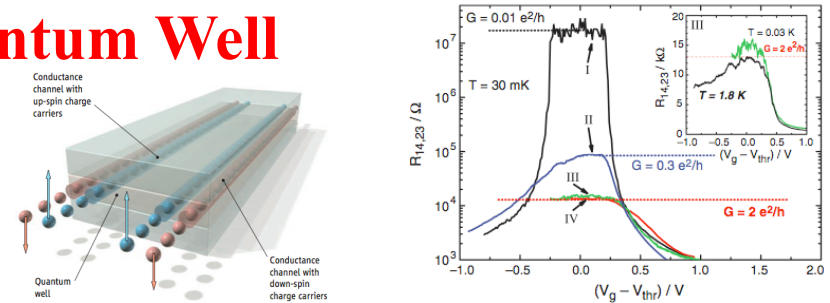
Bi_2Se_3

四、拓扑电子材料：重要进展

2D TI (QSH Insulator): HgTe Quantum Well

Theory: Bernevig, Science (2006),

Exp: König, Science (2007).

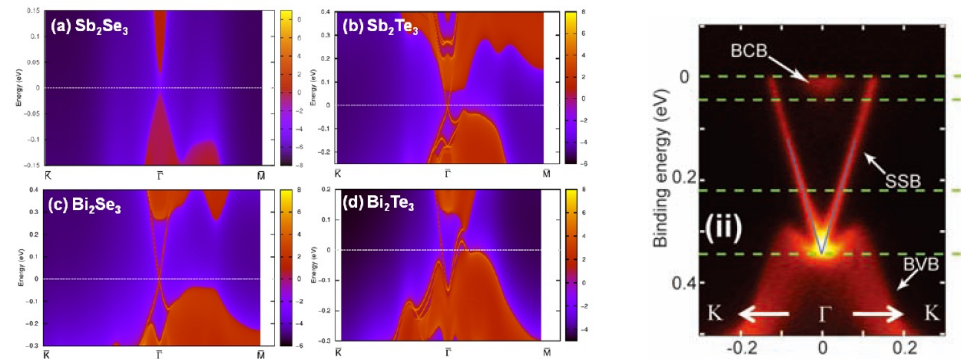


3D TI: Bi₂Se₃, Bi₂Te₃, Sb₂Te₃

Theory: H. J. Zhang, Nat. Phys. (2009),

Exp: Y. Xia, Nat. Phys. (2009)

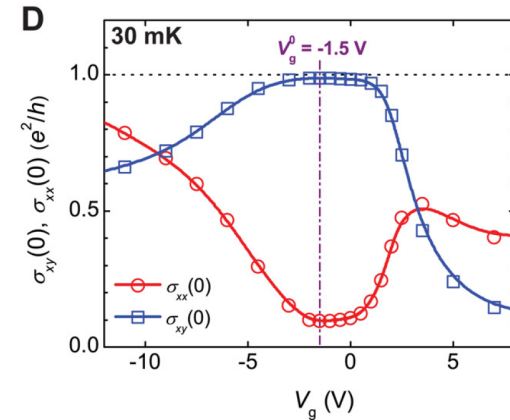
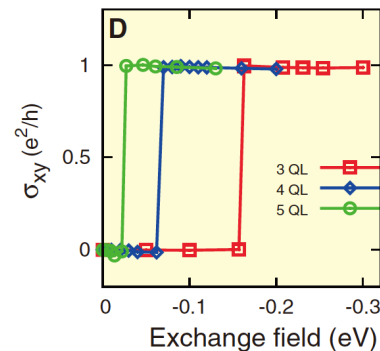
Y. L. Chen, Science (2009).



QAHE Insulator: Cr-doped Bi₂Te₃

Theory: R. Yu, Science. (2010),

Exp: C. Z. Chang, Science (2013).



四、拓扑电子材料: Quantum Hall Trio

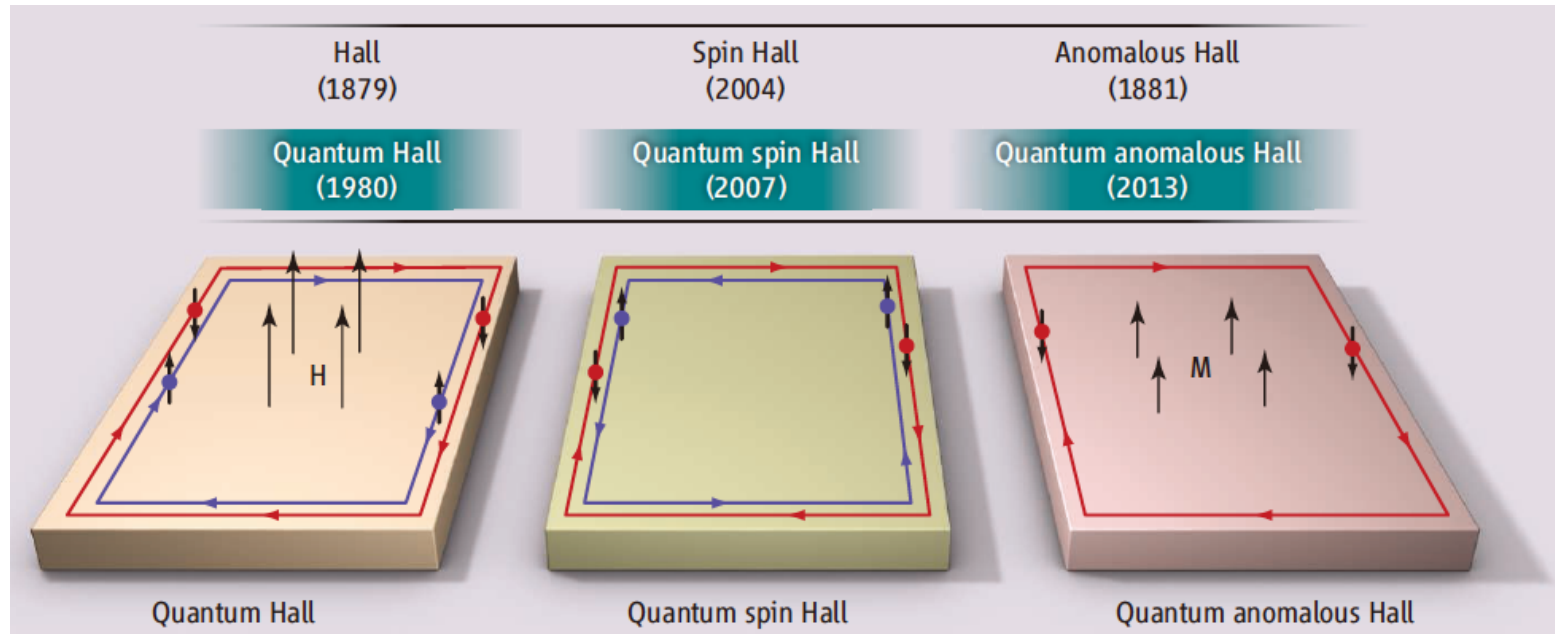


The Complete Quantum Hall Trio

Seongshik Oh

Science **340**, 153 (2013);

DOI: 10.1126/science.1237215



HgTe

Bi_2Te_3 , Bi_2Se_3
with Cr-doping

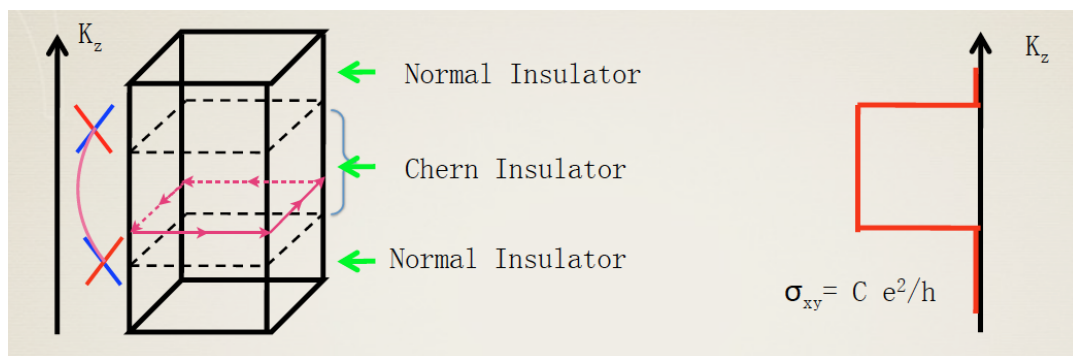
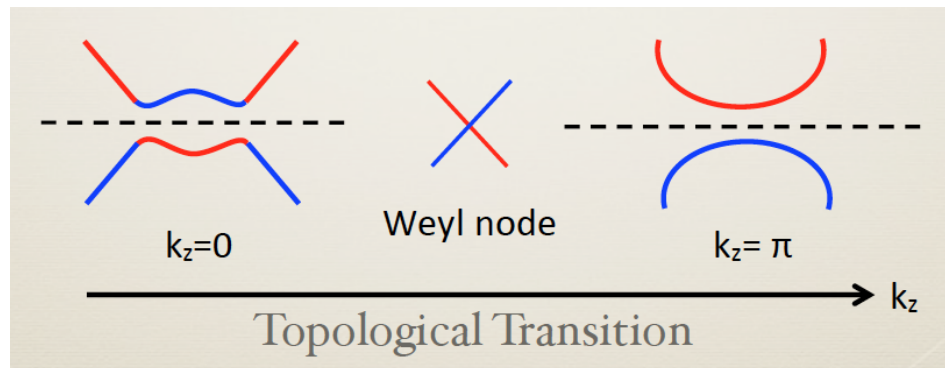
四、拓扑电子材料：拓扑Weyl半金属

Considering 2D sheet (fixed $k_z=m$).

Topological phase transition as function of k_z .

$$H(\vec{k}) = \begin{bmatrix} m & k_x - ik_y \\ k_x + ik_y & -m \end{bmatrix}$$

$m < 0$, Chern I $\Rightarrow$ $m > 0$, NI



3D Weyl semimetal:

- (1) Spin-Momentum Lock in 3D
 - (2) Fermi arcs on side surface,
 - (3) Magnetic monopoles in bulk,
 - (4) QAHE in Quantum-well structure
 - (5) ABJ anomaly, and etc.
- (Negative MR for E//B)

X. G. Wan, et.al. PRB (2011).

A. A. Burkov, et.al, PRL (2011);PRB (2011)

G. Xu, et.al., PRL (2011).

四、拓扑电子材料：拓扑Dirac Semimetal

If both T and I symmetry are present:

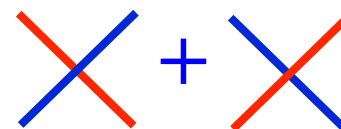
+Q & -Q Weyl nodes have to overlap in K-space

$$H(k) = \begin{bmatrix} k \cdot \sigma & M^* \\ M & -k \cdot \sigma \end{bmatrix}$$

Case I: $M \neq 0$, Insulator

$$H(k) = \begin{bmatrix} k \cdot \sigma & 0 \\ 0 & -k \cdot \sigma \end{bmatrix}$$

Case II: $M=0$, 3D Dirac Semimetal



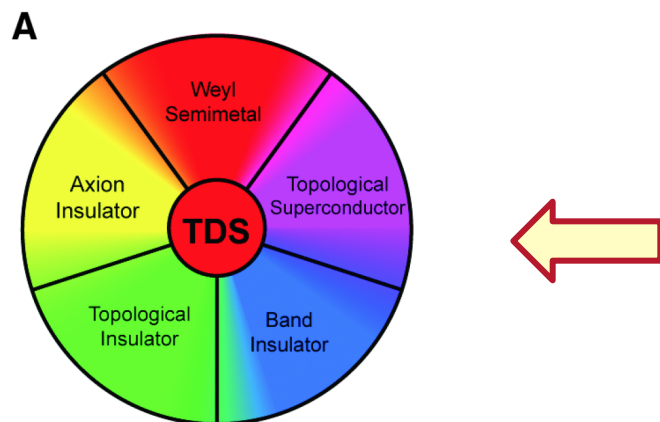
Need crystal symmetry protection.

3D Dirac semimetal:

- (1) Pseudo fermi arcs on surface
- (2) Giant diamagnetism: $\chi(\epsilon) \approx \log(1/\epsilon)$
- (3) Linear Quantum magneto-resistance.
- (4) QSHE in its quantum-well structure

S. M. Young, et.al., PRL (2012).

Z. J. Wang, et.al., PRB (2012)



Critical Point

四、拓扑电子材料：拓扑半金属

◆ 拓扑金属的定义：PRB 85, 195320 (2012)

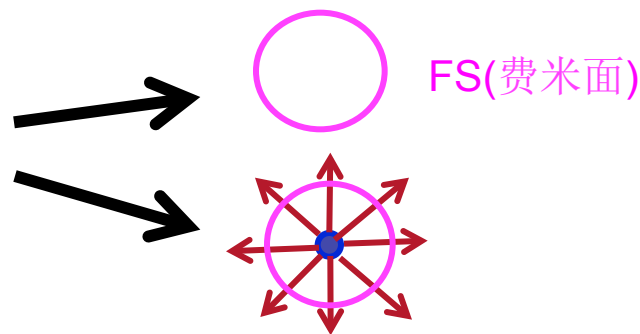
定义：

$$\frac{1}{2\pi} \oint_{FS} \vec{\Omega}(k) \cdot dS(k) = C_{FS}$$

$C_{FS}=0$ ，一般金属

$C_{FS} \neq 0$ ，拓扑金属

如果费米面正好在能带交叉点 $\rightarrow$ 拓扑半金属



◆ 拓扑半金属材料：

(1) HgCr_2Se_4 : PRL 107, 186806 (2011)

(2) Na_3Bi : PRB 85, 195320 (2012)

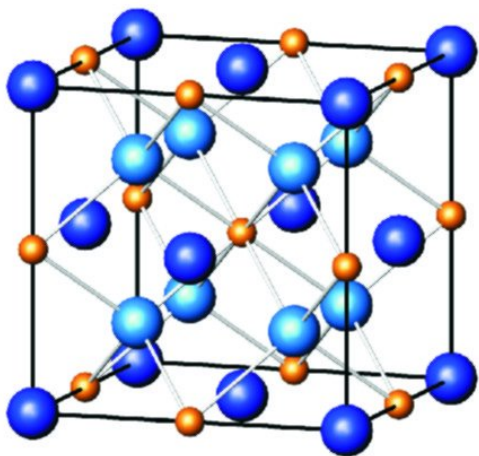
(3) Cd_3As_2 : PRB 88, 125427 (2013)

拓扑半金属具有与普通金属不同的新奇物性：磁阻、抗磁性、拓扑超导等

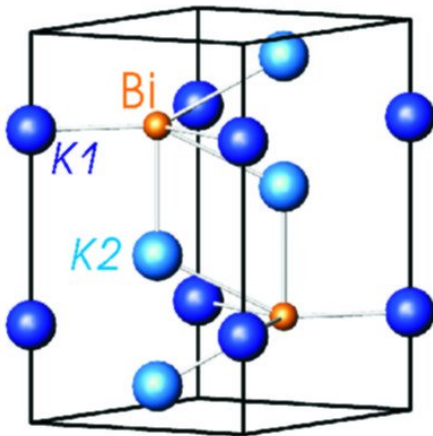
四、拓扑电子材料：拓扑半金属

Crystal Structure of $A_3\text{Bi}$

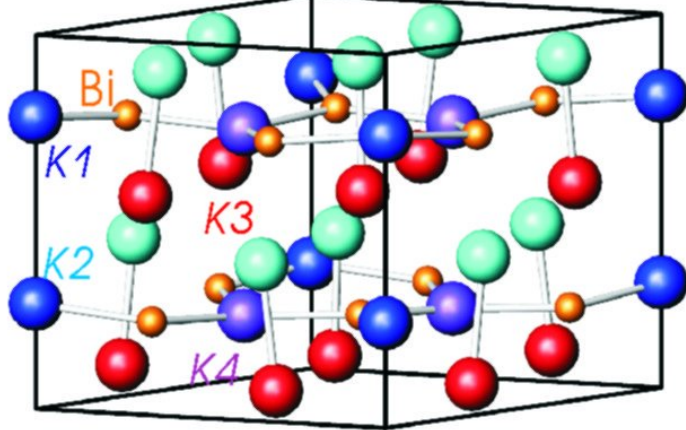
a Cubic $Fm\bar{3}m$



b Hex-I $P6_3/mmc$



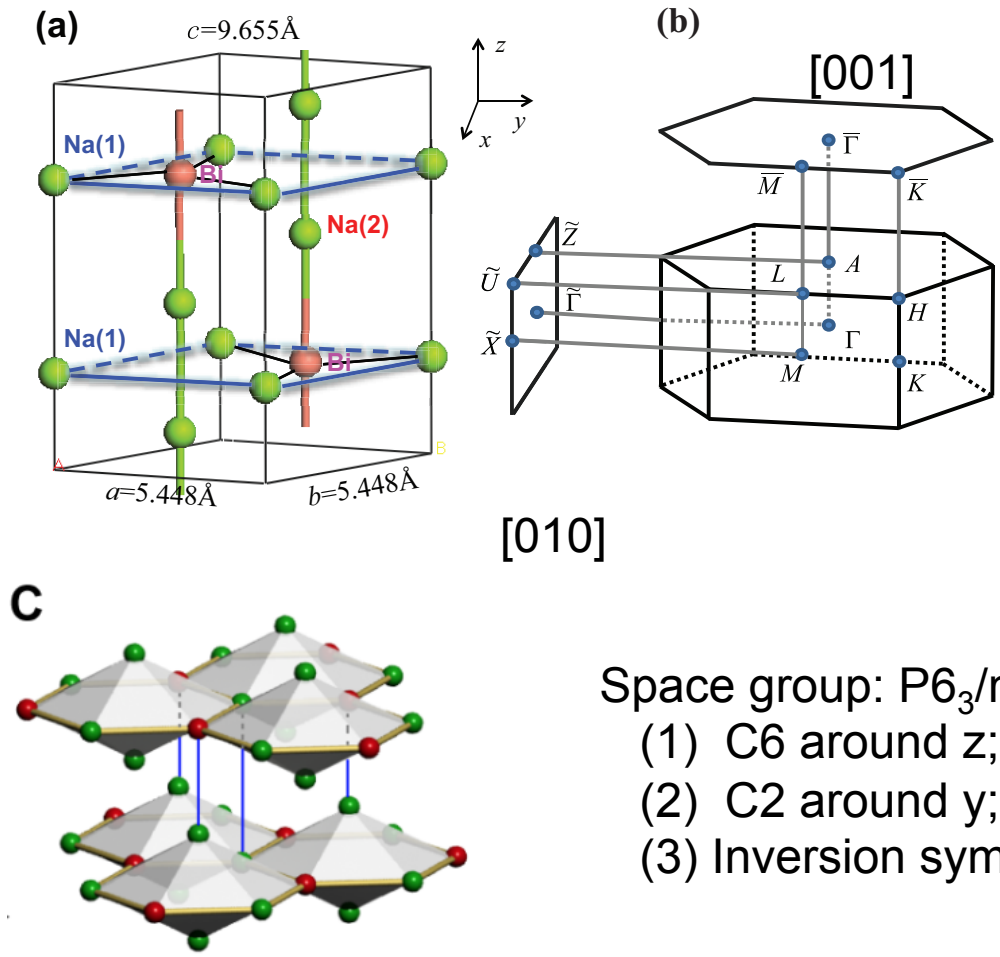
c Hex-II $P6_3cm$



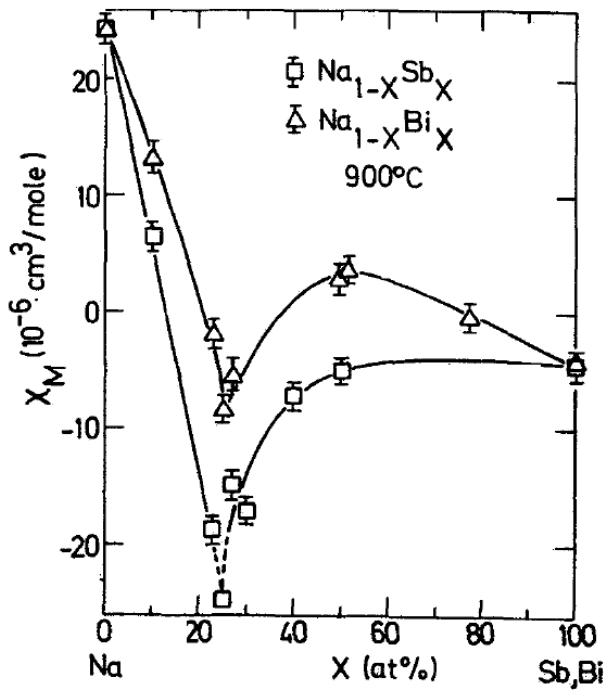
	Li_3Bi	K_3Bi	Na_3Bi	Rb_3Bi	Cs_3Bi
Cubic	○	○	○	○	○
Hex-I		○	○	○	
Hex-II		○			

四、拓扑电子材料：拓扑半金属

Basic properties of Na_3Bi



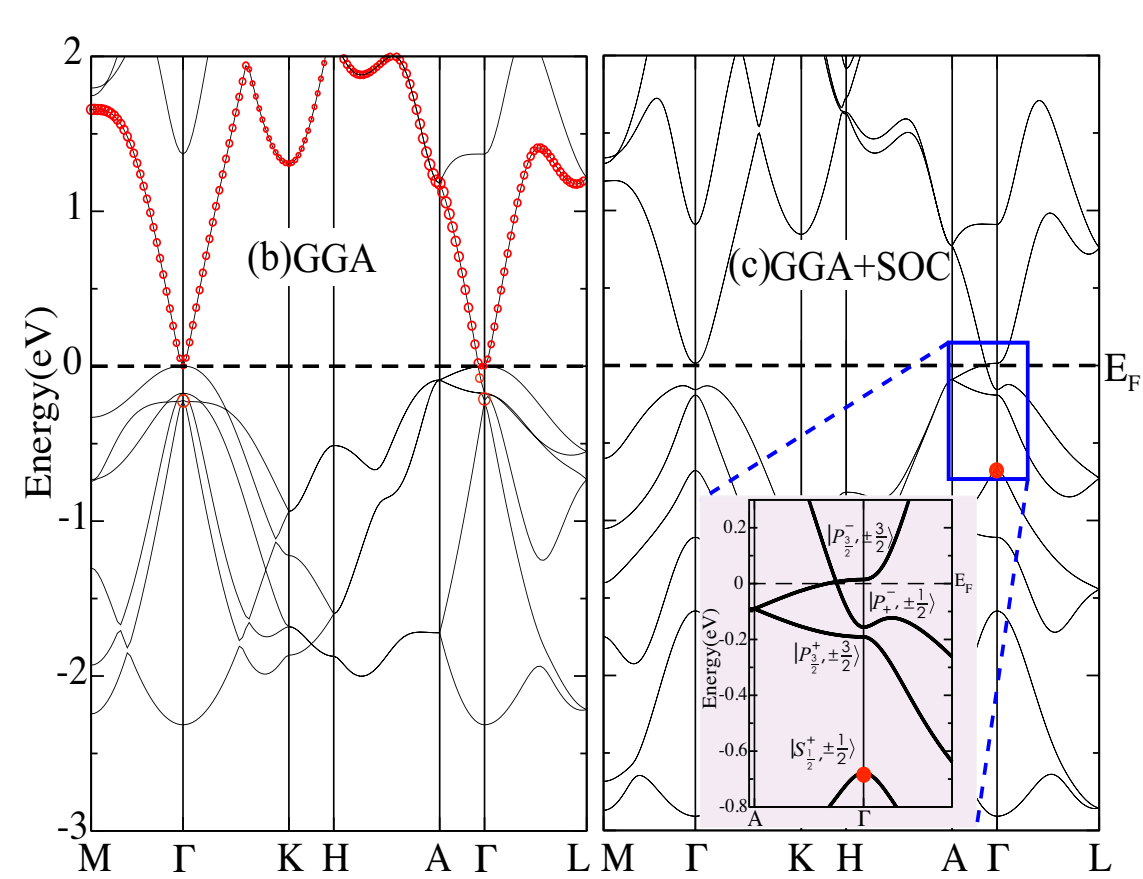
Space group: $P6_3/mmc$
(1) C_6 around z ;
(2) C_2 around y ;
(3) Inversion symmetry.



Diamagnetism
K. Hackstein,
J. de. Phys. (1980)

四、拓扑电子材料：拓扑半金属

Band inversion in Na₃Bi



- (1) S state is lower than p at Γ
 - (2) Band-crossing along Γ -Z
 - (3) Protected by C_3
 - (4) 3D Dirac Cone at $(0,0,\pm k_z^c)$
- (Complete splitting of p at Γ , different with HgTe and etc.)
 $|p_{3/2}, 3/2\rangle$ is the highest state.)

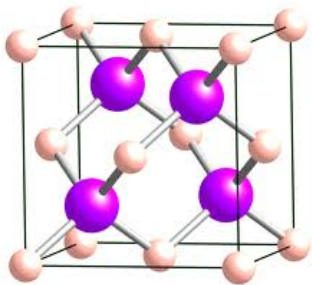
Z. J. Wang, et.al, PRB (2012)

$$H_{\Gamma}(\mathbf{k}) = \epsilon_0(\mathbf{k}) + \begin{pmatrix} M(\mathbf{k}) & Ak_+ & 0 & B^*(\mathbf{k}) \\ Ak_- & -M(\mathbf{k}) & B^*(\mathbf{k}) & 0 \\ 0 & B(\mathbf{k}) & M(\mathbf{k}) & -Ak_- \\ B(\mathbf{k}) & 0 & -Ak_+ & -M(\mathbf{k}) \end{pmatrix}$$

$$B(\mathbf{k}) = B_3 k_z^c k_+^2$$

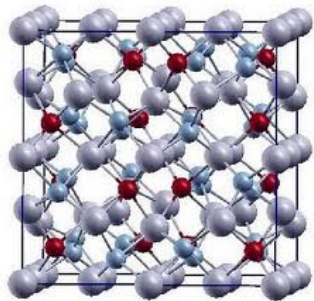
四、拓扑电子材料：拓扑半金属

Structure of Cd_3As_2 , Zn_3P_2 , Zn_3As_2 , Cd_3P_2

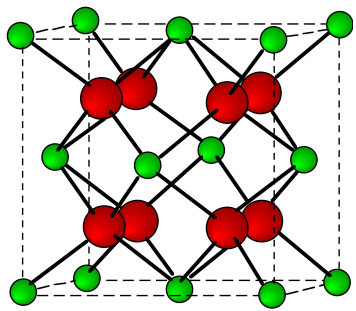


Zinc Blende

Adding Cd



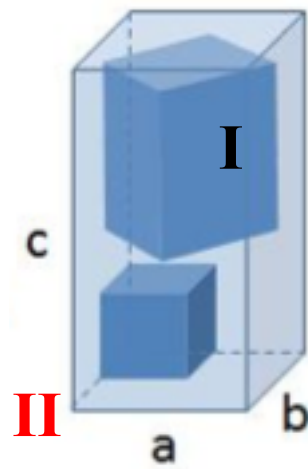
Cd Vacancy



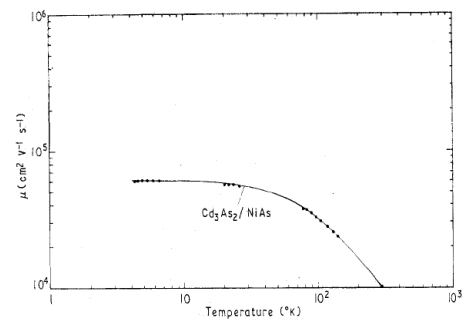
Anti-fluorite

Two Structures

Structure I:
 D_{4h} ($P4_2/nmc$)
40 atoms per cell
With inversion

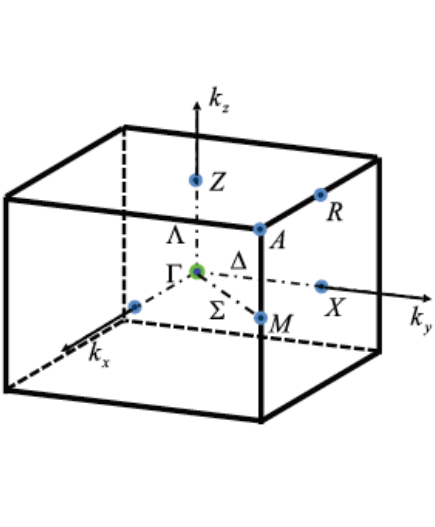
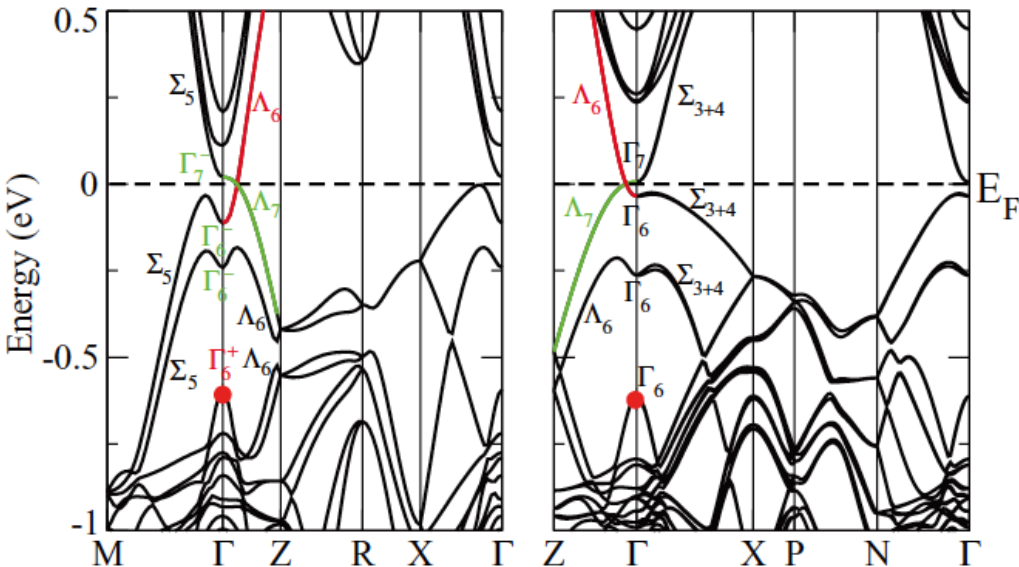


Structure II:
 C_{4v} ($I4_1cd$)
80 atoms per cell
No inversion
(most stable phase)

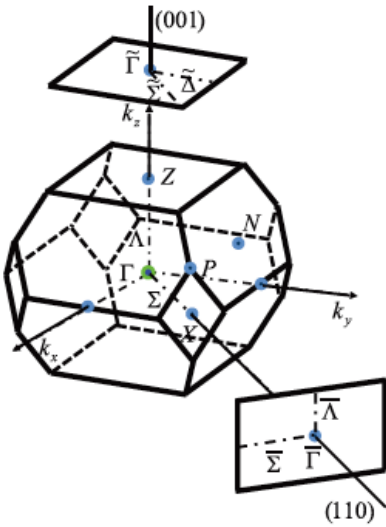


**Cd_3As_2 , Mobility up to 10^5
C. T. Elliott, J. Phys. D (1969).**

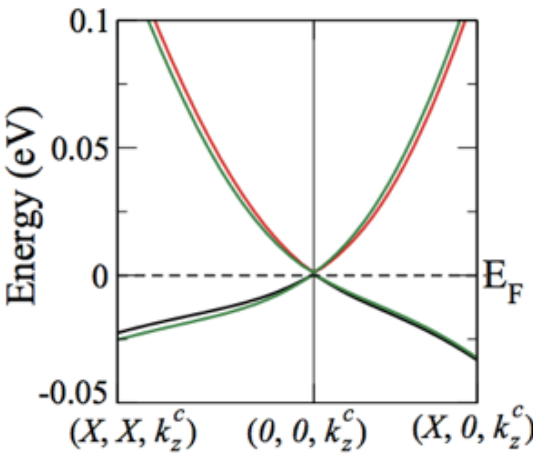
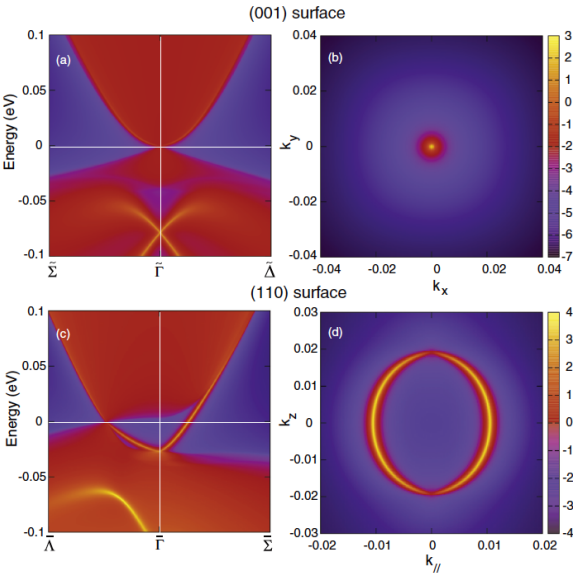
四、拓扑电子材料：拓扑半金属



(a) structure I



(b) structure II



- (1) Protected by C4
- (2) Band-Splitting

四、拓扑电子材料：拓扑半金属

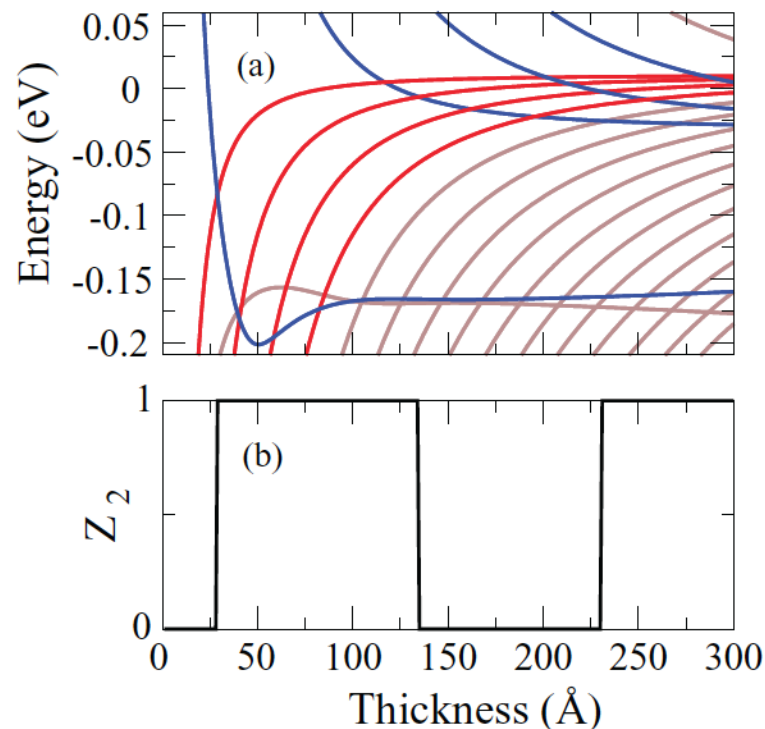
$$|S_{\frac{1}{2}}^+, \frac{1}{2}\rangle, |P_{\frac{3}{2}}^-, \frac{3}{2}\rangle, |S_{\frac{1}{2}}^+, -\frac{1}{2}\rangle, |P_{\frac{3}{2}}^-, -\frac{3}{2}\rangle$$

$$H_{\Gamma}(\vec{k}) = \epsilon_0(\vec{k}) + \begin{pmatrix} M(\vec{k}) & Ak_+ & Dk_- & B^*(\vec{k}) \\ Ak_- & -M(\vec{k}) & B^*(\vec{k}) & 0 \\ Dk_+ & B(\vec{k}) & M(\vec{k}) & -Ak_- \\ B(\vec{k}) & 0 & -Ak_+ & -M(\vec{k}) \end{pmatrix},$$

Similar to Na3Bi, but:

- (1) $B(k) \approx 0$ by C_4 symmetry
- (2) $D \neq 0$ for the structure II.

Z. J. Wang, et.al, PRB (2013)

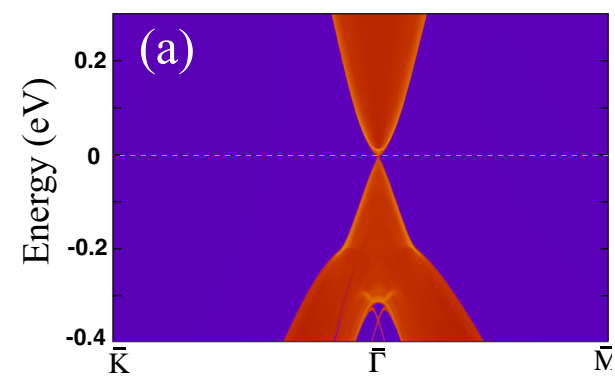


Large gap QSHE
gap ≈ 0.1 eV

Quantum MR?? $B \perp E$
Negative MR?? $B // E$

四、拓扑电子材料：拓扑半金属

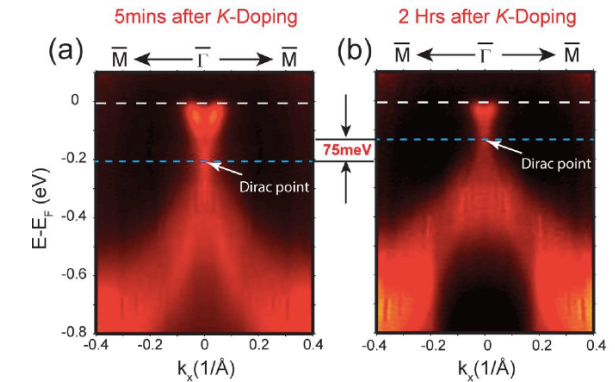
与实验合作



理论



Discovery of a Three-Dimensional Topological Dirac Semimetal, Na₃Bi

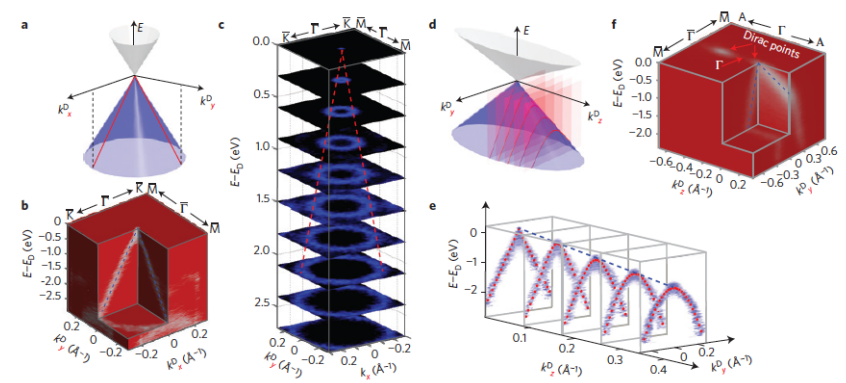


实验

Science 343, 6173 (2014): Na₃Bi



A stable three-dimensional topological Dirac semimetal Cd₃As₂



Nature Materials, 3990 (2014): Cd₃As₂

观测到了三维Dirac锥
首次发现拓扑半金属态
—— 三维版本的石墨烯

Summary:

动量（参数）空间 → Berry Curvature → Gauge field

→ 拓扑不变量 → 拓扑量子态 → 拓扑电子材料

拓扑电子态家族（future）：

	无时间反演	有时间反演	材料	强关联
拓扑绝缘体	○✓	○✓	○✓	○✓
拓扑金属	○✓?	✓	✓	?
拓扑超导	?	?	?	?