

# Equivalence Relations, Classification Problems, and Descriptive Set Theory

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# Equivalence Relations

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- ▶ if  $(x, y) \in E$  and  $(y, z) \in E$  then  $(x, z) \in E$ ,

for all  $x, y, z \in X$ .

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2. **Orbit equivalence:** if  $G \curvearrowright X$  is an action of a group on a set, then define

$$x_1 \sim x_2 \iff \exists g \in G \ g \cdot x_1 = x_2$$

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$$\mu \ll \nu \iff \forall A (\nu(A) = 0 \Rightarrow \mu(A) = 0)$$

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  - ▶ the **quotient topology:**  $A \subseteq X/\sim$  is open iff  $\pi^{-1}(A) \subseteq X$  is open.

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where  $T$  is a suitably constructed maximally consistent term-complete theory in an extended language with new constant symbols.



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**Note:** This classification problem is an equivalence relation, in fact an orbit equivalence relation by the conjugacy action of the general linear group.

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**Note:** There are only countably many finitely generated abelian groups up to isomorphism.



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A **Bernoulli shift** is a quadruple  $(X, \mathcal{B}, \mu, T)$ , where

- ▶  $X = \{1, 2, \dots, n\}^{\mathbb{Z}}$  for some  $n \geq 1$ ,
- ▶  $\mathcal{B}$  is the Borel  $\sigma$ -algebra generated by the product topology on  $X$ ,
- ▶  $\mu$  is a product measure given by a probability distribution  $(p_1, \dots, p_n)$  with  $\sum_{i=1}^n p_i = 1$ ,
- ▶  $T$  is the shift: for  $x = (x_n)_{n \in \mathbb{Z}}$ ,

$$(Tx)_n = x_{n-1}$$

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**Example** Classify all Bernoulli shifts up to isomorphism. Two Bernoulli shifts  $(X, \mathcal{B}, \mu, T)$  and  $(Y, \mathcal{C}, \nu, S)$  are **isomorphic** if there is a measure-preserving map  $\Phi$  from a  $\mu$ -measure 1 subset of  $X$  onto a  $\nu$ -measure 1 subset of  $Y$  such that

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**Ornstein, 1970:** Two Bernoulli shifts are isomorphic iff they have the same entropy.

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**Effros, 1965**: The classification problem for representations of Type I separable  $C^*$ -algebras up to unitary equivalence is smooth.

**Gromov, 1999**: The classification problem for compact metric spaces up to isometry is smooth.

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**Oops!**  $E$  is smooth in this sense iff  $|X/E| \leq |\mathbb{R}|$ .

We need the map  $I$  to be somehow “computable.”

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**Too restrictive!** In many examples, the complete invariants are not computed continuously. In fact, if  $E$  is smooth in this sense, it has to be a closed subset of  $X \times X$ .

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**Note:**  $\mathbb{R}$  itself is a standard Borel space.

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Let  $X$  be a standard Borel space and  $E$  an equivalence relation on  $X$ .

Let  $Y$  be a standard Borel space and  $F$  an equivalence relation on  $Y$ .

We say that  $E$  is **Borel reducible to**  $F$ , denoted  $E \leq_B F$ , if there is a Borel map  $f : X \rightarrow Y$  such that

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**Note:** This notion appeared in the 1980s and was borrowed from computational complexity theory. The notion of Borel reducibility gives a sense of relative complexity between equivalence relations.

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$$\mathbb{R}/\mathbb{Q} \not\leq_B = !$$



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[Foreman–Rudolph–Weiss, 2011](#): The isomorphism problem for measure-preserving transformations is not a Borel equivalence relation.

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**Glimm–Effros, 1960s:** Let  $G \curvearrowright X$  be a Borel action of a locally compact Polish group  $G$  on a standard Borel space. Let  $E$  be the orbit equivalence relation. Then either  $E$  is smooth or else  $\mathbb{R}/\mathbb{Q} \leq_B E$ .

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**Harrington–Kechris–Louveau, 1990:** Let  $E$  be any Borel equivalence relation on a standard Borel space. Then either  $E$  is smooth or else  $\mathbb{R}/\mathbb{Q} \leq_B E$ .



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- ▶  $E_0$ : the eventual agreement equivalence relation on  $\{0, 1\}^{\mathbb{N}}$ :

$$(x, y) \in E_0 \iff \exists n \forall m \geq n \ x(m) = y(m)$$

- ▶ Consider the shift action of  $\mathbb{Z}$  on  $\{0, 1\}^{\mathbb{Z}}$ :

$$(g \cdot x)(h) = x(h - g)$$

- ▶ The **Pythagorean equivalence relation** on  $\mathbb{R}_+$ :  
 $x \sim y \iff x/y \in \mathbb{Q}$

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[G.–Jackson, 2015](#): Any action of a countable abelian group gives rise to a hyperfinite equivalence relation.

[Weiss' Question, 1980s](#): Does every action of a countable amenable group give rise to a hyperfinite equivalence relation?

[Orstein–Weiss, 1980](#): Any action of a countable amenable group gives rise to a hyperfinite equivalence relation **on a conull set**.

# Other Benchmark Equivalence Relations



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**Polish group:** a topological group with a Polish topology, i.e., separable completely metrizable topology

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**Mackey, 1963**: If  $G$  is a Polish group and  $H \leq G$  is a closed subgroup **or** a topological quotient of  $G$ , then  $E_H \leq_B E_G$ .

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**Becker–Kechris, 1993**: For any Polish group  $G$  there is a **universal** action of  $G$ , i.e., a Borel action of  $G$  on some standard Borel space  $X$  such that  $E_G^X$  is the most complexity among all orbit equivalence relations by  $G$ .

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**Mackey, 1963**: If  $G$  is a Polish group and  $H \leq G$  is a closed subgroup **or** a topological quotient of  $G$ , then  $E_H \leq_B E_G$ .

**Uspenskij, 1986**: There is a **universal** Polish group, i.e., a Polish group which contains a copy of every other Polish group as a closed subgroup.

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Examples of turbulent equivalence relations include the measure equivalence,  $\mathbb{R}^N/\ell^p$ ,  $\mathbb{R}^N/c_0$ , etc.

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The unitary equivalence of

- ▶ compact operators
- ▶ self-adjoint operators
- ▶ unitary operators
- ▶ general bounded linear operators

are all important problems in functional analysis.



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- ▶ general bounded linear operators is a Borel equivalence relation ([Ding–G. 2014](#), [Hjorth–Törnquist 2012](#)).

# Other Benchmark Equivalence Relations

## Uspenskij's universal Polish groups

- ▶ the isometry group of the universal Urysohn space  $\text{Iso}(\mathbb{U})$   
1990;
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**Ding, 2012**: Yes! (by a complicated construction)



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- ▶ ([Zielinski, 2016?](#)) the homeomorphism of all compact metric spaces;
- ▶ ([Chang–G., 2016?](#)) the homeomorphism of all continua.

# Summary

We defined four main benchmark equivalence relations (of increasing complexity):

$=$ : the equality equivalence (smooth)

$\mathbb{R}/\mathbb{Q}$ : the Vitali equivalence (hyperfinite)

$E_{S_\infty}$  (usually referred to as graph isomorphism)

$E_G^\infty$ : the universal orbit equivalence relation

# Summary

What about

- ▶ general bounded linear operators on an infinite dimensional Hilbert space (up to unitary equivalence)?
- ▶ arbitrary countable groups up to isomorphism?
- ▶ general measure-preserving transformations up to isomorphism?
- ▶ representations of general separable  $C^*$ -algebras up to unitary equivalence?
- ▶ general separable complete metric spaces up to isometry?
- ▶ compact metric spaces up to homeomorphism?



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Challenge to the audience:

- ▶ Develop a Spectral Theory for general bounded linear operators.
- ▶ Classify separable locally compact metric spaces up to isometry.
- ▶ Determine the exact complexity of the isomorphism of all measure-preserving transformations (von Neumann's problem)

Invariant Descriptive Set Theory, CRC Press, 2009.

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# Thank you for your attention!